MAR 2 4 2004 A 30 H

03-26-04

EXPRESS MAIL NO. EV 449558325US

TRANSMITTAL FORM

(To be used for all correspondence after initial filing)

Application Number	10/617,371	
Filing Date	July 10, 2003	
First Named Inventor	Motohide Yamazaki	
Art Unit	1632	
Examiner Name		
Attorney Docket No.	850136.402D1	

ENCLOSURES (check all that apply)				
Fee Transmittal Form Fee Attached Amendment/Response After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement; Form PTO-1449 Cited References Certified Copy of Priority Document(s) Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 Response to Missing Parts/Incomplete Application	Drawing(s) Request for Corrected Filing Receipt Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation, Change of Correspondence Address Declaration Statement under 37 CFR 3.73(b) Terminal Disclaimer Request for Refund	CD(s), Number of CD(s) After Allowance Communication to Group Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Return Receipt Postcard Additional Enclosure(s) (please identify below): Copy of Updated Filing Receipt with Correction		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT				
Individual Name	Stephen J. Rosenman, Ph.D.	Customer Number 00500		
Signature	Enly Cosey			
Date	March 24, 2004 (

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. C:\NrPortbl\iManage\KARENWILL\467943_1.DOC



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Motohide Yamazaki et al.

Application No.

10/617,371

Filed

: July 10, 2003

For

PRODUCTION OF EXOPOLYSACCHARIDES UNATTACHED

TO THE SURFACE OF BACTERIAL CELLS

Art Unit

1632

Docket No.

850136.402D1

Date

March 24, 2004

Filing Receipt Corrections
Office of Initial Patent Examination
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents:

Attached is a copy of the official Filing Receipt received from the PTO in the above-identified application, for which issuance of a corrected Filing Receipt is respectfully requested.

There is an error with respect to the following data, which is incorrectly entered.

There is an error with respect to the residence of the second inventor. This information should read:

Marcia Mikolajczak, Encinitas, CA.

The correction to be made has been marked in red on the enclosed copy of the Updated Filing Receipt.

Respectfully submitted,

Seed Intellectual Property Law Group PLLC

Stephen J. Rosenman, Ph.D.

Registration No. 43,058

SJR:kw

Enclosures:

Postcard

Copy of Updated Filing Receipt with Correction

701 Fifth Avenue, Suite 6300 Seattle, Washington 98104-7092

Phone: (206) 622-4900 Fax: (206) 682-6031

C:\NrPortbl\iManage\KARENWILL\467948_1.DOC

Page 1 of 2



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

 APPL NO.
 FILING OR 371 (c) DATE
 ART UNIT
 FIL FEE REC'D
 ATTY.DOCKET NO
 DRAWINGS
 TOT CLMS
 IND CLMS

 10/617,371~
 07/10/2003
 1632
 986
 850136.402D1
 13
 4

CONFIRMATION NO. 1475

UPDATED FILING RECEIPT

OC00000011677435

www.uspto.gov

00500 SEED INTELLECTUAL PROPERTY LAW GROUP PLLC 701 FIFTH AVE SUITE 6300 SEATTLE, WA 98104-7092

Date Mailed: 01/13/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Motohide Yamazaki, San Diego, CA; Marcia Mikolajczak, Encinatas; CA; Thomas J. Pollock, San Diego, CA; Richard W. Armentrout, La Jolla, CA;

Encinitas, CA

RECEIVED

JAN 1 5 2004

SEED INTELLECTUAL PROPERTY
LAW GROUP PLLC

Assignment For Published Patent Application

Shin-Etsu Bio, Inc., San Diego, CA; Shin-Etsu Chemical Co., Ltd., Tokyo, JAPAN;

Domestic Priority data as claimed by applicant

This application is a DIV of 09/905,829 07/13/2001 PAT 6,605,461 which claims benefit of 60/220,145 07/24/2000

Foreign Applications

If Required, Foreign Filing License Granted: 10/07/2003

Projected Publication Date: 04/22/2004

Non-Publication Request: No

Early Publication Request: No

Title

Production of exopolysaccharides unattached to the surface of bacterial cells

Preliminary Class

435

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).